

08/29/01
11054 U.S. PTO

11017 U.S. PTO
09/940419
08/29/01

FORM PTO-1082
TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Assistant Commissioner
for Patents
Washington, D.C. 20231

BOX APPLICATIONS

Re: New U.S. Patent Application
For: PATIENT VENTILATION DEVICES
Inventor(s): Timothy Bateman
John Edward NASH
Eric PAGAN
Attorney Docket: 0100/0134

Sir:

Attached hereto is the application identified above, including 9 pages of textual specification including 13 claims, and 2 sheet of drawings.

The Government filing fee is calculated as follows:

| (Col 1) | | (Col 2) | | (Col 3) | SMALL ENTITY | | OR | NON-SMALL ENTITY | |
|---|----|---------|----|-----------|--------------|-------|----------|------------------|-------|
| NO. FILED | | | | NO. EXTRA | RATE | FEE | | RATE | FEE |
| TOTAL | 13 | minus | 20 | | x9= | 0 | | x18= | \$ |
| INDEP | 4 | minus | 3 | 1 | x40= | 0 | | x80= | \$80 |
| _ First Presentation, Multiple Dependent Claims | | | | | +135= | 0 | | +270= | \$ |
| Base Filing Fee | | | | | | \$355 | | | \$710 |
| TOTAL FILING FEE* (accounting for possible small entity status) | | | | | | \$ | OR TOTAL | | \$790 |

[] *Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:

- [] is filed herewith;
- [] will be filed at a later date;
- [] was filed in the parent application.

[XX] Foreign priority is claimed under 35 U.S.C. § 119 from United Kingdom Patent Application No.0023250.4 dated September 21, 2000.

- [] Priority document(s) will be submitted at a later date.
- [XX] Priority document(s) is/are submitted herewith.

- [] There is no claim to foreign priority under 35 U.S.C. § 119.
- [XX] Executed Declaration(s) is/are submitted herewith.
- [] Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).
- [XX] Formal drawing(s) is/are attached.
- [] Formal drawing(s) will be submitted at a later date.
- [] An Information Disclosure Statement, PTO-1449 and reference(s) cited therein is/are submitted.
- ☒ Assignment document(s) is/are submitted herewith, along with Form PTO-1595; the recordation fee of \$40.00 per document is enclosed herewith.
- [XX] A check in the amount of \$830.00 is enclosed. The Commissioner is hereby authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached.
- [] No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- [XX] The Commissioner is hereby authorized to charge any fee deficiency, except the filing fee, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.

Respectfully submitted,



Louis Woo, Reg. No. 31,730
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Date: Aug 29 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Timothy BATEMAN et al.

Serial No.

Art Unit:

Filed: concurrently herewith

Examiner:

For: PATIENT VENTILATION
DEVICES

Atty Docket: 0100/0134

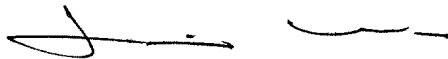
SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are two (2) sheets of formal drawings containing Figs.
1-4 relating to the above-identified application.

Respectfully submitted,



Louis Woo, Reg. No. 31,730
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Date: Aug 29 2001